



COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER 30, 2022

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, **Dharmesh Chauhan**, Compliance Officer of Rolcon Engineering Co. Ltd., am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that,

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
- ~~3. all the UPSI disseminated in the previous quarter have been captured in the Database~~
- ~~4. the system has captured nature of UPSI along with date and time~~
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 1 number of events during the quarter ended and has captured 0 number of the said required events.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard:

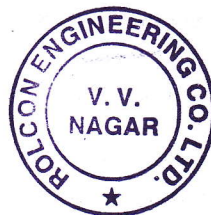
noncompliance	UPSI related unaudited Financial Result dated November 11, 2022
Reason	The Company was under process of deployment SDD software and certain technical glitch restricted us to capture the above event on SDD system.
action(s) taken	(1) Company has deployed "Trackin" software developed by Link Intime India Pvt. Ltd., along with all necessary system has been set to follow SDD complaisance now onwards with dedicated compliance team.

Further, I also certify that, Company did not share such UPSI to anyone and was not misused by any related individual/body that you please take note of the same. Furthermore, we being committed compliance team assures to the exchange and members of the Company for better corporate compliance of the Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015.

Kindly, take the same on your record.

Thanking You,

Yours faithfully,
For, ROLCON ENGINEERING CO. LTD.,



(CS Dharmesh Chauhan)
Company Secretary & Compliance officer.
M.No.: A40003



ISO : 9001 : 2015
Certi No. 04 100 067292

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